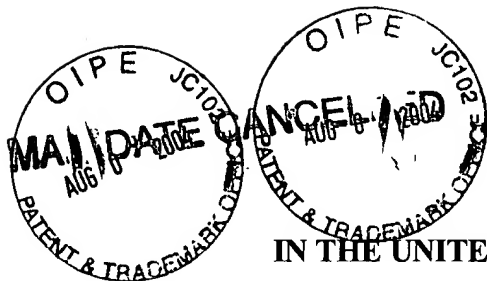


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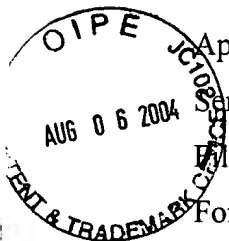
580 2632
PATENT



Serial No. 09/976,022

Attorney Docket No. 1999-0644CON (1014-076)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s) : Albert Gordon Greenberg et al.
Serial No. : 09/976,022
Filed : 15 October 2001
For : RECOVERY METHOD FOR AN OPTICAL NETWORK
Art Unit : 2632
Examiner : Not yet assigned

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The paragraphs marked below are applicable.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed with a Request for Continued Examination (RCE). No certification or fee is required.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☐ c. Payment of the fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information is enclosed.
- ☐ 4. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Please debit Deposit Account No. 50-2504 in the amount of \$130.00 in payment of the petition fee under 37 CFR §1.17(i)(1) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

OPTIONAL INSERT PARAGRAPHS FOR INFORMATION DISCLOSURE STATEMENT
(Please delete non-applicable paragraphs).

- ☐ 5. Relevance of the non-English language reference(s) is discussed in the present specification.
- ☐ 6. The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- ☐ 7. A concise explanation of the relevance of the non-English language reference(s) appears in the Appendix attached hereto.
- ☐ 8. The Examiner's attention is directed to co-pending U.S. Patent Application No. _____, filed, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

PATENT

Serial No. 09/976,022

Attorney Docket No. 1999-0644CON (1014-076)

☐ 9. This application is one of a series of related applications, identified in the attached Appendix, which are directed to related technical subject matter. The identification of those U.S. Patent Applications is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during the examination.

☐ 10. The reference(s) was/were cited by or submitted to the Office in parent application No. _____, filed _____, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).

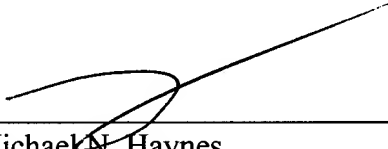
☐ 11. English-language Abstracts of the non-English language references are attached hereto.

☐ 12. Other.

Respectfully submitted,

Michael Haynes PLC

Date: 6 August 2004



Michael N. Haynes
Registration No. 40,014
1341 Huntersfield Close
Keswick, VA 22947
434-972-9988



CERTIFICATE OF EXPRESS MAILING

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Information Disclosure Statement (3 sheets)

Form PTO-1449 (1 sheet)

6 U.S. Patent Documents

1 Non-Patent Literature Document

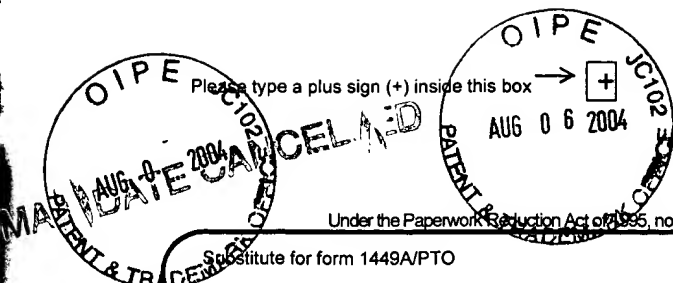
Serial No. 09/976,022
Application Filing Date: 15 October 2001
Document Submission Date: 6 August 2004

Docket: 1999-0644CON (1014-076)
Inventor: GREENBERG et al.

6 August 2004
Date

Eden Brown
Name of Person Making Deposit

Eden Brown
Signature of Person Making Deposit



PTO/1449

Approved for use through 10/31/2002. OMB 0651-0031
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/976,022
Filing Date	15 October 2001
First Named Inventor	Albert Gordon Greenberg
Group Art Unit	2632
Examiner Name	Not yet assigned
Attorney Docket Number	1999-0644CON (1014-076)

U.S. PATENT DOCUMENTS

Examiner Initials	Patent No.	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
	6,160,651	Chang	12 December 2000
	6,295,146	Nathan	25 September 2001
	2002/0018264 A1	Kodialam	14 February 2002
	2002/0018269 A1	Chaudhuri	14 February 2002
	2002/0030864 A1	Chaudhuri	14 March 2002
	2002/0041413 A1	Wang	11 April 2002

FOREIGN PATENT DOCUMENTS

Examiner Initials	Patent No.	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	"Integration of IP over Optical Networks: Networking and Management", Deliverable 1, IP over WDM, Transport and Routing, October 1999, entire publication, EURESCOM Participants in Project P918-GI

Examiner Signature		Date Considered	
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¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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